**PHA 5-Year and Annual Plan**

**U.S. Department of Housing and Urban Development**
**Office of Public and Indian Housing**

**OMB No. 2577-0226**
Expires 4/30/2011

### 1.0 PHA Information

<table>
<thead>
<tr>
<th>PHA Name:</th>
<th>LAFAYETTE HOUSING AUTHORITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>PHA Code:</td>
<td>IN071</td>
</tr>
<tr>
<td>PHA Type:</td>
<td>☒ High Performing</td>
</tr>
<tr>
<td>PHA Fiscal Year Beginning:</td>
<td>04/2015</td>
</tr>
</tbody>
</table>

### 2.0 Inventory (based on ACC units at time of FY beginning in 1.0 above)

<table>
<thead>
<tr>
<th>Number of PH units:</th>
<th>Number of HCV units:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1215</td>
</tr>
</tbody>
</table>

### 3.0 Submission Type

- [x] 5-Year and Annual Plan
- [ ] Annual Plan Only
- [ ] 5-Year Plan Only

### 4.0 PHA Consortia

- PHA Consortia: (Check box if submitting a joint Plan and complete table below.)

<table>
<thead>
<tr>
<th>Participating PHAs</th>
<th>PHA Code</th>
<th>Program(s) Included in the Consortia</th>
<th>Programs Not in the Consortia</th>
<th>No. of Units in Each Program</th>
</tr>
</thead>
<tbody>
<tr>
<td>PHA 1:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PHA 2:</td>
<td></td>
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<td></td>
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<tr>
<td>PHA 3:</td>
<td></td>
<td></td>
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### 5.0 5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.

#### 5.1 Mission

State the PHA’s Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA’s jurisdiction for the next five years:

*The mission of the Lafayette Housing Authority is to:*
- Provide affordable housing opportunities
- To stimulate the development of and increase the availability of affordable housing
- To ensure safe and decent housing for participants
- To be fiscally responsible
- To perform these charges without discrimination and with respect for those we serve

#### 5.2 Goals and Objectives

Identify the PHA’s quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

- End chronic homelessness and move homeless families and individuals to permanent housing
- Increase participation in the Project-Based Voucher Program
- Ensure equal housing opportunity in housing
- Continue as a High Performing agency

Prior 5-Yr Plan Goals and Progress:

- Either obtain 98% lease up of the 1205 vouchers or 98% of ABA
  LHA’s overall vacancy rate is at 99.50%
- Apply for more HCV vouchers as made available by HUD
  Current Housing Choice Voucher Program budget constraints have delayed this endeavor
  During the last 5-years there have not been any HCV vouches to apply for
- Continue as a High Performing agency
  LHA has been rated as a high performer since its first rating received in October, 2001
- Increase Section 8 Homeownership program participation by 3 households in the next 5 years
  LHA continues to serve two Homeownership vouchers but budget constraints have delayed increasing this endeavor
6.0 PHA Plan Update
(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:
See attachment A for details.
LHA has updated the following:
Chapter 4 Local Preferences – Added City of Lafayette TBRA program to the Transitions Preference
Chapter 4 Local Preferences – Removed the Rent Burden Preference
Chapter 6 Earned Income – School Corporation workers
Chapter 10 Restrictions on Move Elective Moves
Chapter 11 Changes in Family and Household Composition – reporting timeframe
Chapter 11 Departure of a Family or Household Member – reporting timeframe
Chapter 11 Changes Affecting Income or Expenses – reporting timeframe
Chapter 11 Family-Initialized Interim Re-Examinations (income) – reporting timeframe
Chapter 11 Processing the Interim Re-Examination
Chapter 11 Notification of New Family Share and HAP Amount
Chapter 12 Moved & Left Owing Damages or Rent to Previous Landlord
Chapter 12 Other Authorized Reasons for Termination of Assistance
Chapter 12 Eviction

(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.
Lafayette Housing Authority located at 100 Executive Drive, Suite J, Lafayette IN 47905

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. Include statements related to these programs as applicable.

Project-based Vouchers
Lincoln Center contains 24 Project-based vouchers.

Homeownership Programs –
LHA administers two HCV Homeownership Program [pursuant to Section 8(y), of the U.S.H.A. of 1937, as implemented by 24 CFR part 982] that allows families and individuals participating in the Section 8 program an opportunity to purchase a home using their voucher. Participation in the program is voluntary and certain eligibility criteria must be met, including but not limited to the following: minimum income guidelines, pre and post-purchase counseling, first-time homebuyer training and close pre- and post-purchase communication with the Agency homeownership program staff. LHA is not currently accepting new applications due to budget constraints.

8.0 Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable.

NOT APPLICABLE FOR HCV

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the Capital Fund Program Annual Statement/Performance and Evaluation Report, form HUD-50075.1, for each current and open CFP grant and CFFP financing.

NOT APPLICABLE FOR HCV

8.2 Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the Capital Fund Program Five-Year Action Plan, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.

NOT APPLICABLE FOR HCV

8.3 Capital Fund Financing Program (CFFP).
☐ Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.

NOT APPLICABLE FOR HCV
Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

The Consolidate Plan (2010) shows that the biggest housing needs in the cities of Lafayette and West Lafayette have remained the same over the past five years, despite efforts during the previous five-year Consolidated Plan. Low income and extremely low income renters face the largest cost burden and have the most difficult time finding affordable housing. These income brackets are described as households living at 31 to 50 percent of the median family income and below 30 percent of the median family income, respectively.

Large families are those with the greatest need of affordable housing, both rental housing and owner occupied housing.

The cities of Lafayette and West Lafayette prioritize the needs of these families and households as high needs and will fund programs to address their needs. Because of the location of Purdue University, rental housing is readily available. Creation of new rental units will only be supported if it targets very low income, extremely low income households, special needs populations and is either in full development, redevelopment or considered Smart Growth development.

In addition, the HOME Consortium, via the city of Lafayette, will provide tenant based rental assistance, helping these renters pay for housing that exceed their ability to pay. As with the Housing Choice Voucher program, tenant based rental assistance will help households pay the rent after the household contributes 30 percent of their gross monthly income towards rent.

The difficulty with serving these categories of households is finding the balance between the amount of subsidy required to make the project viable in the long term and the desire to create as many units as possible to address the need. As previously stated, these households have remained high priority over the last five years and continue to be the populations in greatest need of affordable housing. The demand for affordable housing for these households has not decreased. The cities of Lafayette and West Lafayette strive to meet this demand, on the other hand, limited funds and high demand for student housing push the cost of development. Higher subsidies allow builders and developers to lower the cost of the rent or sale of the units and/or increase the maintenance budget and decrease the long-term debt service but are often difficult to provide in light of limited HOME budgets each year.

The foreclosure crisis continues to hit the cities of Lafayette and West Lafayette, as well as Tippecanoe County. While the increase in foreclosures began in 2005 for this area, it continues as people lose their employment and income. The most basic need of people entering foreclosure is assistance with identifying other employment that pays a living wage. In addition to creation of housing, the cities of Lafayette and West Lafayette will look for new ways to attract business and new jobs that will pay living wages and enable households to remain in their homes which were once affordable.

The LHA was able to reopen the waiting list for Section 8 assistance. In an effort to address the needs of the community, local preferences were adopted which include: disability, veterans, residency, and a transitions preference (for applicants that are transitioning from a temporary housing program for which supportive services were available to identify and eliminate barriers to homelessness.)

The Lafayette Housing Authority currently has more than 400 persons on their waiting list for Section 8 vouchers.

<table>
<thead>
<tr>
<th>LHA's waiting list</th>
<th>HAP Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>October, 2004</td>
<td>$5,517,990</td>
</tr>
<tr>
<td>October, 2009</td>
<td>$5,628,903</td>
</tr>
<tr>
<td>October, 2014</td>
<td>$5,532,235</td>
</tr>
</tbody>
</table>

When cost of living adjustments in the same five year period are taken into consideration, the HAP funding has decreased at the same time that the need has exploded.

Strategy for Addressing Housing Needs. Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.

The LHA’s basic strategy remains the same, good management and efficient use of resources. The LHA will continue to watch the lease up rate closely and to monitor the PUC, the payment standards and the utility allowances in a manner so that the maximum number of households can be served at a reasonable level. If the payment standards are too high, the subsidy is too deep resulting in fewer households able to be assisted. If the payment standard is too low, then participants cannot locate adequate housing.
**Addition Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.

**PHA Goal: Expand the supply of assisted vouchers**

During the last five year period, there have not been any fair share vouchers for which to apply. Therefore the LHA could not expand via fair share vouchers. In July 2014, LHA was invited to apply for 10 VASH vouchers and in October 2014, LHA received another invitation to apply for 3. LHA has been awarded the initial 10 VASH vouchers.

**PHA Goal: Improve the quality of assisted housing.**

On October 30, 2001 the LHA received its first SEMAP rating. The LHA was rated a high performer. The LHA has been rated as a high performer ever since. The LHA has exceeded its goal and is maintaining. LHA reviewed and revised our local HQS standards in 2011. The revisions have provided a higher quality and more consistent enforcement of HQS. The revisions are a cross between HUD minimum HQS and the local city code.

**PHA Goal: Provide an improved living environment.**

LHA purchased a couple of videos focusing on living environment. The first video is entitled “Housekeeping Basics”. The purpose of this video is to provide helpful hints and suggestions on how to maintain a rental unit. The second video is entitled “How to be a Good Renter”. The purpose of this video is to provide suggestions on improving relationships between the renter and the landlord and also between the renter and a neighbor. Both of these videos are shown at the Family Briefing Session.

**PHA Goal: Promote self-sufficiency and asset development of assisted households.**

LHA refers clients to a self-sufficiency program run through the local Area IV office. The program is called Transitions; this program provides case management to assist clients in overcoming barriers to self-sufficiency. Barriers such as education, job-training, childcare, substance abuse, stress, transportation issues, parenting issues and mental health issues are all areas of focus.

**PHA Goal: Ensure equal opportunity and affirmatively further fair housing.**

The LHA works closely with the social service agencies in our community to ensure access for disabled households. The Executive Director speaks before groups upon request to discuss the options for housing in our community and issues pertaining to fair housing and affordable housing

(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”

If the LHA was to propose a change that would hurt households or the community beyond those imposed by HUD.

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**Required Submission for HUD Field Office Review.** In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.

(a) Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations (which includes all certifications relating to Civil Rights)

(b) Form HUD-50070, Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)

(c) Form HUD-50071, Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)

(d) Form SF-LLL, Disclosure of Lobbying Activities (PHAs receiving CFP grants only)

(e) Form SF-LLL-A, Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)

(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.

(g) Challenged Elements

(h) Form HUD-50075.1, Capital Fund Program Annual Statement/Performance and Evaluation Report (PHAs receiving CFP grants only)

(i) Form HUD-50075.2, Capital Fund Program Five-Year Action Plan (PHAs receiving CFP grants only)
Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1. PHA Information
Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2. Financial Resources. A statement of financial resources, including a listing by general categories, of the PHA’s anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.

3. Rent Determination. A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.

4. Operation and Management. A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.

5. Grievance Procedures. A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.

6. Designated Housing for Elderly and Disabled Families. With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: (1) development name and number; (2) designation type; (3) application status; (4) date the designation was approved, submitted, or planned for submission, and; (5) the number of units affected.

7. Community Service and Self-Sufficiency. A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).

8. Safety and Crime Prevention. For public housing only, describe the PHA’s plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.
9. Pets. A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.

10. Civil Rights Certification. A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction’s initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.

11. Fiscal Year Audit. The results of the most recent fiscal year audit for the PHA.

12. Asset Management. A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.

13. Violence Against Women Act (VAWA). A description of: (1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; (2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and (3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

(a) Hope VI or Mixed Finance Modernization or Development. A description of any housing (including project number [or known] and unit count) for which the PHA will apply for Hope VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/centers/sac/demo6/index.cfm.

(b) Demolition and/or Disposition. With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm.

Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.

(c) Conversion of Public Housing. With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/centers/sacconversion.cfm.

(d) Homeownership. A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.

(e) Project-based Vouchers. If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA’s Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA’s Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the Capital Fund Program Annual Statement/Performance and Evaluation Report (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year’s CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

(a) To submit the initial budget for a new grant or CFFP;

(b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and

(c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the Capital Fund Program Annual Statement/Performance and Evaluation (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;

2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and

3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the Capital Fund Program Five-Year Action Plan (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any
9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

(a) Progress in Meeting Mission and Goals. PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

(b) Significant Amendment and Substantial Deviation/Modification. PHA must provide the definition of “significant amendment” and “substantial deviation/modification”. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

(c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (Note: Standard and Troubled PHAs complete annually).

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

(a) Form HUD-50077, PHA Certifications of Compliance with the PHA Plans and Related Regulations

(b) Form HUD-50070, Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)

(c) Form HUD-50071, Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)

(d) Form SF-LLL, Disclosure of Lobbying Activities (PHAs receiving CFP grants only)

(e) Form SF-LLL-A, Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)

(f) Resident Advisory Board (RAB) comments.

(g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.

(h) Form HUD-50075.1, Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only). See instructions in 8.1.

(i) Form HUD-50075.2, Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only). See instructions in 8.2.