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CDBG-R SUBMISSION TEMPLATE & CHECKLIST

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards $1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states, of which $10 million has been reserved by HUD for its administrative costs and $10 million of which will be awarded to Indian tribes. Recipients of the remaining $980 million of CDBG-R funds will be the approximately 1,200 jurisdictions that received CDBG funding in Fiscal Year 2008. This template sets forth the suggested format for grantees receiving funds from CDBG-R. A complete submission contains the information requested below, including:

(1) The CDBG-R Substantial Amendment (template attached below)
(2) Spreadsheet for Reporting Proposed CDBG-R Activities (see http://www.hud.gov/recovery)
(3) Signed and Dated Certifications (see http://www.hud.gov/recovery)
(4) Signed and Dated SF-424.

Grantees should also attach a completed CDBG-R Substantial Amendment Checklist to ensure completeness and efficiency of review (attached below).

THE CDBG-R SUBSTANTIAL AMENDMENT

Jurisdiction(s): City of Lafayette Jurisdiction Web Address: • *www.lafayette.in.gov*	CDBG-R Contact Person: Aimee Jacobsen Address: 20 North 6th Street, Lafayette, IN 47901 Telephone: 765-807-1090 Fax: 765-807-1099 Email: ajacobsen@lafayette.in.gov

ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation's infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. HUD strongly urges grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. While the full range of CDBG activities is available to grantees, the Department strongly suggests that grantees incorporate consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

GRANTEE COMMENTS: *TOTAL FUNDING TO THIS PARTICIPATING JURISDICTION IS $175,480. THE ALLOWABLE 10% ($17,548) FOR ADMINISTRATIVE PURPOSES WILL BE USED IN ITS ENTIRETY BY THE GRANTEE. FUNDING FOR PUBLIC SERVICES WAS ALLOCATED AT THE MAXIMUM ALLOWED OF 15% ($26,322) AS SHOWN ON THE PROJECT SPREADSHEET ATTACHED TO THIS SUBSTANTIAL AMENDMENT. FUNDING ALLOCATIONS WERE MADE ONLY TO THOSE PROJECTS THAT WILL BENEFIT EXTREMELY LOW-, VERY LOW-, AND LOW-INCOME INDIVIDUALS, ENSURING THAT A MINIMUM OF 70% OF THE CDBG-R GRANT IS EXPENDED FOR ACTIVITIES THAT WILL SERVE LOW-INCOME PERSONS.*

SHOULD ONE OR MORE OF THE PROJECTS BE UNABLE TO UTILIZE ALL FUNDING ALLOCATED, FUNDS WILL BE REPROGRAMMED TO SIDEWALK AND CURB REPLACEMENT PROGRAMS IN LOW AND MODERATE INCOME AREAS. THIS IS SHOWN ON THE ATTACHED WORKSHEET AS A CONTINGENCY.

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Grantees must provide information concerning CDBG-R assisted activities in an electronic spreadsheet provided by HUD. The information that must be reported in the spreadsheet includes activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. An electronic copy of the spreadsheet and the format is available on HUD's recovery website at http://www.hud.gov/recovery.

B. CDBG-R Information by Activity (Complete for EACH Activity)

(1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Energy Efficiency Incentive Program

(2) Activity Narrative:
In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

$100,000 in CDBG-R funds will be used to install energy efficiency improvements in commercial buildings. The business owner must be willing to provide low to moderate income jobs and comply with all ARRA requirements. The jobs will be advertised to target those who have lost jobs in this recession. The energy efficiency improvements will provide a permanent investment in building to increase the overall economic efficiency of the business, thereby creating long term economic benefits and fostering reduced energy dependence.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

CDBG-R funds spent in the City of Lafayette will result in the creation of an estimated 8 full time equivalent permanent jobs.

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

CDBG-R funds will be used to install energy efficiency improvements on commercial building(s) which will promote energy conservation. The program will promote smart growth with its focus on improving existing commercial buildings. Green building technologies will be encouraged for the project(s).

(5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

Aimee Jacobsen, Lafayette Community Development Director
20 North 6th Street
Lafayette, IN 47901
765-807-1090
ajacobsen@lafayette.in.gov

B. CDBG-R Information by Activity (Complete for EACH activity)

(1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Sidewalks and Curb Replacements in Low Moderate Income CDBG Target Areas

(2) Activity Narrative:
In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

$22,610 in CDBG-R funds will be used to replace sidewalk, curb and handicap ramps in LMA areas. The project will meet all ARRA requirements. The project will provide a permanent investment in the infrastructure of the area and it will create construction jobs. This work will also include installation s of a handicap ramps.

SHOULD ONE OR MORE OF THEOTHER CDBG-R PROJECTS BE UNABLE TO UTILIZE ALL FUNDING ALLOCATED, FUNDS WILL BE REPROGRAMMED TO THIS PROJECT.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

CDBG-R funds spent on the sidewalk and curb replacement activity will result in the retention of an estimated 10 full time equivalent permanent construction-related jobs.

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Improved pedestrian mobility may reduce driving, thereby reducing automobile-related pollution emissions.

(5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

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B. CDBG-R Information by Activity (Complete for EACH Activity)

(1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Handicap Ramp Installation at City Hall Annex Building

(2) Activity Narrative:
In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

$9,000 in CDBG-R funds will be used towards the installation of a handicap ramp at 515 Columbia Street to provide handicap accessibility for citizens accessing services at the City Hall annex. The installation of the ramp will provide a permanent investment in the infrastructure and it will create construction jobs.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

CDBG-R funds spent in the City of Lafayette will result in the retention of an estimated 2 full time equivalent permanent construction jobs.

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

The handicap ramp installation will be performed using conventional construction techniques. However, the building which will be used as the City Hall Annex will be completely renovated using LEED standards.

(5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

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B. CDBG-R Information by Activity (Complete for Each Activity)

(1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Public Services

(2) Activity Narrative:
In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

$26,322 in CDBG-R funds will be used for public service activities that serve low-moderate income persons. Public service activities will include but not be limited to housing case management associated with the permanent supportive housing programs provided in the Lafayette Weed and Seed area.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

One half full time equivalent permanent jobs will be created.

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Not applicable.

(5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

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B. CDBG-R Information by Activity (Complete for Each Activity)

(1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Administration and Planning

(2) Activity Narrative:
In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

$17,548 in CDBG-R funds will be used for planning and administration activities related to the CDBG-R projects.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

N/A

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

N/A

(5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

Aimee Jacobsen
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C. Public Comment

Provide a summary of public comments received to the proposed CDBG-R Substantial Amendment.

Note: A Proposed CDBG-R Substantial Amendment must be published via the usual methods and posted on the jurisdiction's website for no less than 7 calendar days for public comment.

Response:
A display ad was published in the Lafayette Journal and Courier on May 22, 2009. The draft Substantial Amendment was posted on the Lafayette City website. The CDBG-R Substantial Amendment projects were described in detail at the regular Lafayette City Council meeting on June 1, 2009. Public comments were accepted until noon on June 4, 2009. No public comments were received regarding the CDBG-R Substantial Amendment.

CDBG-R Substantial Amendment
Grantee Checklist

For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.

Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction(s): City of Lafayette Lead Agency Jurisdiction Web Address: *www.lafayette.in.gov*	CDBG-R Contact Person: Aimee Jacobsen Address: 20 North 6th Street, Lafayette, IN 47901 Telephone: 765-807-1090 Fax: 765-807-1099 Email: ajacobsen@lafayette.in.gov

The elements in the substantial amendment required for the CDBG recovery funds are:

A. Spreadsheet for Reporting Proposed CDBG-R Activities

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes☒ No☐ Verification found on page **12**

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes☒ No☐ Date Spreadsheet was emailed: **6/04/09**

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,
  Yes☒ No☐ Verification found on page(s) **12**
- the Eligibility citation (eligibility regulatory cite or HCDA cite),
  Yes☒ No☐ Verification found on page(s) **12**
- the CDBG national objective citation,
  Yes☒ No☐ Verification found on page(s) **12**

B. CDBG-R Information by Activity

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,
  Yes☒ No☐ Verification found on page(s) **3-7**

- projected number of jobs created for each activity,
  Yes☒ No☐ Verification found on page(s) **3-7**

- whether an activity will promote energy efficiency and conservation,
  Yes☐ No☐ Verification found on page(s) **3-7**

- the name, location, and contact information for the entity that will carry out the activity,
  Yes☐ No☐ Verification found on page(s) **3-7**

- evidence that no more than 10% of the grant amount will be spent on administration and planning,
  Yes☐ No☐ Verification found on page (s) **2,7,12**

- evidence that no more than 15% of the grant amount will be spent on public services,
  Yes☐ No☐ Verification found on page (s) **2,6,12**

- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,
  Yes☐ No☐ Verification found on page (s) **2**

C. Public Comment Period

Was the proposed action plan amendment published via the jurisdiction's usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes☒ No☐. Verification found on page(s) **8**

Is there a summary of citizen comments included in the final amendment?

Yes☐ No☐ Verification found on page(s) **8**

D. Certifications

The following certifications are complete and accurate:

(1) Affirmatively furthering fair housing	Yes☒	No☐
(2) Anti-displacement and relocation plan	Yes☒	No☐
(3) Drug-free Workplace	Yes☒	No☐
(4) Anti-lobbying	Yes☒	No☐
(5) Authority of jurisdiction	Yes☒	No☐
(6) Consistency with plan	Yes☒	No☐
(7) Section 3	Yes☒	No☐
(8) Community development plan	Yes☒	No☐
(9) Following a plan	Yes☒	No☐
(10) Use of Funds	Yes☒	No☐
(11) Excessive Force	Yes☒	No☐
(12) Compliance with anti-discrimination laws	Yes☒	No☐
(13) Lead-based paint procedures	Yes☒	No☐
(14) Compliance with laws	Yes☒	No☐

(15) Compliance with ARRA	Yes☒	No☐
(16) Project selection	Yes☒	No☐
(17) Timeliness of infrastructure investments	Yes☒	No☐
(18) Buy American provision	Yes☒	No☐
(19) Appropriate use of funds for infrastructure investments	Yes☒	No☐
(20) 70% of CDBG-R for LMI	Yes☒	No☐

Optional Certification

(21) Urgent Need	Yes☐	No☒

D. State Certifications

The following certifications are complete and accurate:

(1) Affirmatively furthering fair housing	Yes☐	No☐
(2) Anti-displacement and relocation plan	Yes☐	No☐
(3) Drug-free Workplace	Yes☐	No☐
(4) Anti-lobbying	Yes☐	No☐
(5) Authority of State	Yes☐	No☐
(6) Consistency with plan	Yes☐	No☐
(7) Section 3	Yes☐	No☐
(8) Community development plan	Yes☐	No☐
(9) Consultation with Local Governments	Yes☐	No☐
(10) Use of Funds	Yes☐	No☐
(11) Excessive Force	Yes☐	No☐
(12) Compliance with anti-discrimination laws	Yes☐	No☐
(13) Compliance with laws	Yes☐	No☐
(14) Compliance with ARRA	Yes☐	No☐
(15) Project selection	Yes☐	No☐
(16) Timeliness of infrastructure investments	Yes☐	No☐
(17) Buy American provision	Yes☐	No☐
(18) Appropriate use of funds for infrastructure investments	Yes☐	No☐
(19) 70% of CDBG-R for LMI	Yes☐	No☐

Optional Certification

(20) Urgent Need	Yes☐	No☐

Jurisdiction/Grantee Name: City of Lafayette		CDBG-R Fomula Grant Amount: $175,480				Date: May 22, 2009	
Activity Name	***Activity Description***	***Eligibility (Regulatory or HCDA Citation)***	***National Objective Citation***	***CDBG-R Project Budget ($)***	***Additional Recovery Funds ($)***	***Other Leveraged Funding ($)***	***Total Activity Budget***
Energy Efficiency Incentive Program	Energy efficiency improvements for commercial properties creating jobs for low to moderate income persons	24 CFR 570.203(a)	24 CFR 570.208(a)(4)	$100,000		$100,000	$200,000
Sidewalks and curb replacement	Sidewalk, curb and handicap ramp replacement in LMA areas	24 CFR 570.201(c)	24 CFR 570.208(a)(1)	$22,610		$478,390	$500,000
Handicap ramp installation City Hall annex building	Handicap ramp installation at 515 Columbia Street to provide ADA accessibility for citizens accessing City Hall Annex	24 CFR 570.201(c)	24 CFR 570.208(a)(2)(A)	$9,000		$491,000	$500,000
Public service activities	Public service activites that serve low-moderate income persons. Public service activites will include but not be limited to housing case management associated with permanent supportive housing programs provided within the Lafayette Weed and Seed area.	24 CFR 570.201(e)	24 CFR 570.208(a)(2)(A)	$26,322			$26,322
Adminstration and planning	City staff time and associated costs necessary to administer and monitor the CDBG-R funds. The amount may alos include planning activities associated with the CDBG-R projects. Amount is consistent with the 10% cap for the CDBG-R Grant.	24 CFR 570.206	N/A	$17,548			$17,548
				$174,480		$1,067,390	$1,241,870

CERTIFICATIONS

(1) **Affirmatively furthering fair housing**. The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about –
   (a) The dangers of drug abuse in the workplace;
   (b) The grantee's policy of maintaining a drug-free workplace;
   (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
   (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
   (a) Abide by the terms of the statement; and
   (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:

   (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying**. To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of Jurisdiction**. The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.

(6) **Consistency with Plan**. The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3**. The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Following a plan**. The jurisdiction is following a current consolidated plan that has been approved by HUD.

(10) **Use of funds**. The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs It has complied with the following criteria:

1. **Maximum Feasible Priority**. With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Special Assessments.** The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force**. The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws**. The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with lead-based paint procedures.** The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(14) **Compliance with laws**. The jurisdiction will comply with applicable laws.

(15) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

(16) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(17) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(18) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(19) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(20) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.

Tony Rosvarski
Signature/Authorized Official

6-3-09
Date

MAYOR
Title

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

*1. Type of Submission:	*2. Type of Application	* If Revision, select appropriate letter(s)
☐ Preapplication	☒ New	
☒ Application	☐ Continuation	*Other (Specify)
☐ Changed/Corrected Application	☐ Revision	

3. Date Received: 4. Applicant Identifier:

5a. Federal Entity Identifier:	*5b. Federal Award Identifier:
B 08 DC 18 0212	

State Use Only:

6. Date Received by State:	7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: City of Lafayette

*b. Employer/Taxpayer Identification Number (EIN/TIN):	*c. Organizational DUNS:
35-6001080	10-257-2067

d. Address:

*Street 1: 20 North 6th Street
Street 2:
*City: Lafayette
County: Tippecanoe
*State: IN
Province:
*Country: United States
*Zip / Postal Code 47901

e. Organizational Unit:

Department Name:	Division Name:
Community Development Department	

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms. *First Name: Aimee
Middle Name:
*Last Name: Jacobsen
Suffix:

Title: Community Development Director

Organizational Affiliation:

*Telephone Number: 765-807-1090 Fax Number: 765-807-1099

*Email: ajacobsen@lafayette.in.gov

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***9. Type of Applicant 1: Select Applicant Type:**

C. City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.253

CFDA Title:

Community Development Block Grant Recovery (CDBG-R)

***12 Funding Opportunity Number:**

FR-5309-N-01

*Title:

American Recovery and Reinvestment Act of 2009

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

City of Lafayette, Indiana

***15. Descriptive Title of Applicant's Project:**

Substantial Amendment to the City of Lafayette 2008-2009 Annual Action Plan for the CDBG-R Program

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

16. Congressional Districts Of:

*a. Applicant: IN-004 *b. Program/Project: IN-004

17. Proposed Project:

*a. Start Date: 7/01/2009 *b. End Date: 9/30/12

18. Estimated Funding ($):

*a. Federal	175,480
*b. Applicant	
*c. State	
*d. Local	
*e. Other	
*f. Program Income	
*g. TOTAL	175,480

***19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

☐ a. This application was made available to the State under the Executive Order 12372 Process for review on _____

☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.

☒ c. Program is not covered by E. O. 12372

***20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

☐ Yes ☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: Mr. *First Name: Tony

Middle Name:

*Last Name: Roswarski

Suffix:

*Title: Mayor

*Telephone Number: 765-807-1002 Fax Number: 765-807-1099

* Email: troswarski@lafayette.in.gov

*Signature of Authorized Representative: Tony Roswarski *Date Signed: 6/04/09

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***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.